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### **A NEW STRATEGY FOR DEVELOPING VS30 MAPS**

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#### ABSTRACT

Despite obvious limitations as a proxy for site amplification, the use of time-averaged shear-wave velocity over the top 30 m ( $V_{S30}$ ) is useful and widely practiced, most notably through its use as an explanatory variable in ground motion prediction equations (and thus hazard maps and ShakeMaps, among other applications). Local, regional, and global  $V_{S30}$  maps thus have diverse and fundamental uses in earthquake and engineering seismology. As such, we are developing an improved strategy for producing  $V_{S30}$  maps given the common observational constraints available in any region for various spatial scales. We investigate a hierarchical approach to mapping  $V_{S30}$ , where the baseline model is derived from topographic slope because it is available globally, but geological maps and  $V_{S30}$  observations contribute, where available. Using the abundant measured  $V_{S30}$  values in Taiwan as an example, we analyze  $V_{S30}$  versus slope per geologic unit and observe minor trends that indicate potential interaction of geologic and slope terms. We then regress  $V_{S30}$  for the geologic  $V_{S30}$  medians, topographic-slope, and cross-term coefficients for a hybrid model. The residuals of this hybrid model still exhibit a strong spatial correlation structure, so we use the kriging-with-a-trend method (the trend is the hybrid model) to further refine the  $V_{S30}$  map so as to honor the  $V_{S30}$  observations. Unlike the geology or slope models alone, this strategy takes advantage of the predictive capabilities of the two models, yet effectively defaults to ordinary kriging in the vicinity of the observed data, thereby achieving consistency with the observed data.

Keywords:  $V_{S30}$ , topographic slope, geology, kriging, site amplification condition

## INTRODUCTION

Recognition of the importance of the ground-motion amplification from regolith has led to the development of systematic approaches to quantifying both amplitude- and frequency-dependent site amplification (e.g., Borchardt, 1994), as well as schemes for regionally mapping seismic site conditions (e.g., Park and Elrick, 1998; Wills *et al.*, 2000; Holzer *et al.*, 2005). Measuring or mapping  $V_{S30}$  was and is still a standard approach for mapping seismic site conditions. In fact, many U.S. building codes require site characterization explicitly as  $V_{S30}$  (e.g., Dobry *et al.*, 2000; Building Seismic Safety Council, BSSC, 2000). In addition, many of the modern ground-motion prediction equations (e.g., Boore and Atkinson, 2008; Chiou and Youngs, 2008) are explicitly calibrated against seismic-station site conditions described with  $V_{S30}$  values, and these in turn are employed in probabilistic (Kalkan *et al.*, 2010) as well as real-time hazard assessments, as in ShakeMap (e.g., Wald *et al.*, 1999). The use of  $V_{S30}$  as a proxy for site amplification is widespread and important in a number of hazard and risk-related endeavors.

Yet, there is no question that the use of  $V_{S30}$  as a proxy for site amplification has significant limitations, especially its lack of information pertaining to frequency dependence, and its inability to distinguish between the response of velocity gradients and sharp contrasts for which the  $V_{S30}$  may be similar. Castellaro *et al.* (2008), among others, suggested a litany of other limitations in using  $V_{S30}$  as a proxy for site amplification. Notwithstanding its limitations, which we readily acknowledge, a number of important applications, including hazard and risk maps, and ShakeMaps, will continue the use of  $V_{S30}$  given the current lack of systematically available and readily employable alternatives.

An advantage of the use of  $V_{S30}$  measurements rather than more complex site amplification characterizations (e.g., frequency-dependent empirical measurements, or H/V ratios; Lermo and Chávez-García, 1993)—and perhaps one of the reasons it is so widely in use—is the ability to extrapolate  $V_{S30}$  point observations to maps and thus to apply the very limited existing data to important regional hazard mapping applications. It is exactly this advantage—the ability to map a proxy for site amplification over wide areas—upon which we expand in this study.

We first describe existing  $V_{S30}$  mapping strategies. Two of these approaches, using geology- and topographic-slope-based proxies, are attractive in that both are available in many areas of the world and can thus be applied over wide regions. However, as described next, both have limitations as they are currently employed. As a proposed alternative, in areas where numerous  $V_{S30}$  observations have been made, we can utilize them explicitly, both for improving the geology- and slope-based relationships to  $V_{S30}$ , as well as with geostatistical analyses to incorporate the observations directly (kriging with a trend). We use an example from Taiwan to describe this revised strategy for  $V_{S30}$  mapping. Finally, we generalize this approach, outlining an overall “recipe” for hierarchical  $V_{S30}$  map-making, dependent on the available data sources in a particular region and based on the tools described herein. This new mapping strategy provides motivation for collecting  $V_{S30}$  data at any spatial scale, since any new data can be used explicitly in refining  $V_{S30}$  maps: geostatistically, both local point constraints and regional trend removal can be used to reconcile  $V_{S30}$  data on different spatial scales. In turn, these new data sets and the mapping algorithms described will be built into a refined version of the U.S. Geological Survey’s Global  $V_{S30}$  Server (<http://earthquake.usgs.gov/vs30/>).

## EXISTING VS30 MAP STRATEGIES

Several methodologies exist for extending the utility of spatially limited  $V_{S30}$  observations to larger areas. On the largest-scale maps, for instance, for microzonation, densely sampled  $V_{S30}$  measurements can simply be spatially interpolated to cover limited regions rich in data. For example, Hunter *et al.* (2010) developed a  $V_{S30}$  map (NEHRP site classes A through E) for the city of Ottawa, Canada by kriging over 600  $V_{S30}$  points, extracted from multiple geophysical sampling techniques. Effectively, the abundant data were simply contoured, though where data points were less-densely sampled, consideration of known surficial geological boundaries was used in the final contouring. The resulting  $V_{S30}$  map was used for the Ottawa Urban Earthquake Hazard Mapping program. Rarely are such comprehensive  $V_{S30}$  data sets available. More usually, even in well-studied urban areas with high seismic risks, numbers of  $V_{S30}$  measurements reach only into the hundreds and more often the dozens; most urban areas have few openly available  $V_{S30}$  data sets.

Maps of seismic site conditions on more regional scales are not always available because they require substantial investment in geological and geotechnical data acquisition as well as interpretation. For extending limited observations to smaller-scale maps, several strategies are in use. A standard strategy, referred to here as “geology-based”, relies on the premise that mapped near-surface geologic units can be reliable indicators of  $V_{S30}$  values within that unit wherever it has been mapped (e.g., Fumal and Tinsley, 1985; Park and Elrick, 1998; Wills *et al.*, 2000). Surficial geological units are assigned a constant  $V_{S30}$  value usually based either on the median value of  $V_{S30}$  of all observations inclusive to that unit (e.g., Fumal and Tinsley, 1985; Park and Elrick, 1998; Wills and Silva, 1998; Wills *et al.*, 2000, Wills and Clahan, 2006); or, commonly, they are assigned via expert opinion based on  $V_{S30}$  values indicative of these likely values within the geological units based on representative  $V_{S30}$  values.

The geology-based strategy can provide very useful  $V_{S30}$  maps in some cases (e.g., Wills and Clahan, 2006; Wills and Gutierrez, 2008). Yet, there remains a substantial amount of subjectivity in turning the (often) large number of geological units into the sufficiently few groupings needed for numerically assigning median  $V_{S30}$  values to each; there are insufficient  $V_{S30}$  data to constrain all individual units. Since some or many of the units within the groups have not been sampled by  $V_{S30}$  measurements, the uncertainty of the  $V_{S30}$  values are not accounted for and are, in fact, solely dependent on subjective geological inferences. Furthermore, grouping geological units and assigning a  $V_{S30}$  value to each grouping results in a constant value over large areas of the map (e.g., Fig. 1c, after Lee *et al.*, 2001), even though the  $V_{S30}$  observations show much greater, often systematic, spatial variations within those groups (e.g., compare Fig. 1b and 1c). Worse, in many seismically active regions of the world, information about surficial geology and  $V_{S30}$  either does not exist, varies dramatically in resolution and quality, varies spatially, or is not available in digital form.

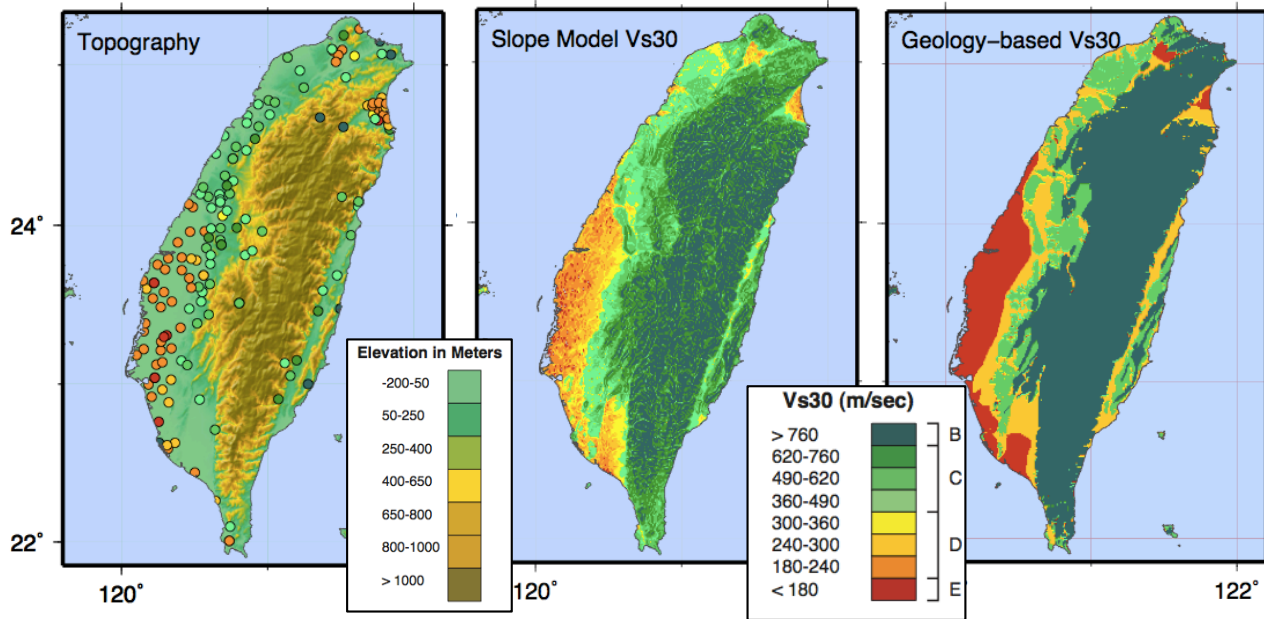


Fig. 1. A) Left: Topographic map of Taiwan at 30 arcsec ( $\sim 1$  km) sampling from STRM data; see legend for elevations. Circles denote  $V_{S30}$  observations (downhole measurements from Lee *et al.*, 2001); color fill is  $V_{S30}$  values (m/sec) as given by NEHRP categories shown in the  $V_{S30}$  legend. B) Middle: Map of  $V_{S30}$  values predicted from the Wald and Allen (2007) model relating topographic-slope to  $V_{S30}$ . C) Right: Geologic-based  $V_{S30}$  map of Lee *et al.* (2001); note Lee *et al.* (2001) use only four discrete categorical geology units.

Topographic elevation data, on the other hand, are available at uniform sampling for the globe (e.g., SRTM data, Farr and Kobrick, 2000). Recognizing the global availability of high-resolution topographic data, Wald and Allen (2007) proposed an alternative approach to systematically estimating and thus mapping  $V_{S30}$  using topographic slope as a predictor of  $V_{S30}$ . By taking the gradient of the topography and choosing ranges of slope that maximize the correlation with shallow shear-velocity observations, they could recover, to first order, many of the spatially varying features of site-condition maps developed for tectonically-active regions like Taiwan and California, as well as for the low-relief, stable-craton regions like the Mississippi Embayment. Notably, the topographic-slope approach also predicted the bulk of the  $V_{S30}$  observations in these regions despite being derived for much wider data sets (Wald and Allen, 2007; Allen and Wald, 2009). Unlike the geology-based approach, the slope-based strategy predicts  $V_{S30}$  values that preserve the character of the observed spatial variations (e.g., compare the  $V_{S30}$  observations in Fig. 1a with Fig. 1b). Subsequent analyses of the topographic-slope proxy for  $V_{S30}$  have shown convincing results (e.g., Wills and Gutierrez, 2008; Thompson *et al.*, 2011) in particular regions, though slope alone was certainly not expected to work well under all geological or geomorphic domains (Wald and Allen, 2007).

Intuitively, topographic variations should be an indicator of near-surface geomorphology and lithology to the first order, with steep mountains indicating rock, nearly flat basins indicating soil, and a transition between the end members on intermediate slopes. Slope of topography, or gradient, should be diagnostic of  $V_{S30}$ , because more competent (high-velocity) materials are more likely to maintain a steep slope whereas deep basin sediments are deposited primarily in environments with very low gradients. Furthermore, particle size or sediment fineness, itself a predictor of lower  $V_s$  (e.g., Park and Elrick, 1998), should relate to slope. For example, steep, coarse, mountain-front alluvial fan material typically grades to finer deposits with distance from the mountain front as is deposited at decreasing slopes by less energetic fluvial and ultimately pluvial processes.

When detailed geomorphic, geologic, and geospatial data are systematically available, additional and very logical predictor variables can improve the prediction of measured  $V_{S30}$  values. Matsuoka *et al.* (2005) found impressive correlations with  $V_{S30}$  in Japan among slope, surficial geology, and combinations of geomorphic indicators (for example, man-made fill versus natural fill, distance to mountain front, depositional environment, and elevation). However, outside of Japan, such detailed geomorphic indicators are not widely mapped and are thus not digitally available.

After recognizing the correlation of slope with  $V_{S30}$  within particular quaternary soils units, Wills and Gutierrez (2008) suggested employing slope as a corrective factor over uniform  $V_{S30}$  assignments within basin geological units. This combination of geology and slope was investigated but was not ultimately employed in the map made available for distribution from their study; their revised map employed refined geologic divisions but not the  $V_{S30}$  gradients within those units that slope would suggest.

Yong *et al.* (2008) employed semi-automated digital imaging analyses of Advanced Space-borne Thermal Emission and Reflection Radiometer (ASTER) satellite imagery, combined with topography based on the same SRTM (30 arc-second sampling) data used by Wald and Allen (2007), to break up topographic variations (e.g., slope, convexity, and roughness) into assignments to terrain units (e.g., mountain, piedmont, and basin). These terrain units were then assigned  $V_{S30}$  ranges by average observations within those units. However, many of the units were poorly sampled by  $V_{S30}$  data, and the lack of diverse lower-velocity units limit resolution at low  $V_{S30}$  (high amplification). As their approach relied only on remotely-sensed data, it too can potentially be applied globally. However, it is unclear how the terrain unit assignments are independent of the slope and geologic-based predictor variables used in earlier studies.

One limiting aspect of all these state-of-the-art strategies for generating estimated  $V_{S30}$  maps, whether from geologic (e.g., Wills *et al.*, 2000; Wills and Clahan, 2006) or topographic base maps (e.g., Wald and Allen, 2007; Allen and Wald, 2009), or terrain (Matsuoka *et al.*, 2005; Yong *et al.*, 2008) predictors is that, while initially derived from or constrained by observed  $V_{S30}$  values, these approaches fail to directly incorporate the  $V_{S30}$  measurements used back into the map that has been created. Likewise, there are no strategies in place for accommodating clear regional trends that obviously deviate from *a priori* slope or geology trend models, especially when significant, new  $V_{S30}$  data become available. For example, Fig. 2 shows a comparison of logarithmic trends of topographic slope versus measured  $V_{S30}$  for Taiwan (black) and for the Salt Lake City, Utah region (red). Regional trends in the relationship between  $V_{S30}$  and slope may be significant, and perhaps related to the nature of the geologic units, and controlled by variations in depositional and active tectonic environments. Moving forward, we have developed a strategy to not only directly incorporate all available  $V_{S30}$  into the mapping process, but to also let these data inform the recalibration, removing any regional trends in  $V_{S30}$  versus slope as well as geology.

## A REVISED VS30 MAPPING STRATEGY

For combining  $V_{S30}$  data directly into a joint model of  $V_{S30}$  (i.e., topographic slope-based or geology-based) we will employ the geostatistical strategy of kriging. What makes kriging special is that it accounts for the spatial dependence among observations. Kriging allows us to estimate  $V_{S30}$  at unsampled locations from the observed values; kriging itself is a generalized least-squares regression algorithm. Any sufficiently well-sampled  $V_{S30}$  data can also be used to refine our *a-priori* predictive models, so rather than ordinary kriging, we can employ kriging with a trend (KT; for more background see, for example, Thompson *et al.*, 2010). Simple kriging (SK) assumes that the trend is known and constant, ordinary kriging (OK) assumes it is unknown but still constant, and kriging with a trend (KT), also known as “universal kriging,” allows the trend to fluctuate in space (Goovaerts, 1999). The geostatistical literature often employs many different terms for what are rather similar techniques. Universal kriging, kriging with external drift, and regression-kriging are basically the same.

The two potential trends we explore, topographic slope and geology, will be modified by inverting for the best-fit coefficients for slope and mean  $V_{S30}$  per geologic unit. Here we take advantage of the notion that the drift and residuals can be estimated separately and then summed (e.g., Thompson *et al.*, 2010). These revised trends will be removed from the data, and since the residuals of this hybrid model exhibit a strong spatial correlation structure, we will use the kriging with a trend method (the trend is the hybrid model) to further refine the  $V_{S30}$  model-based map with the observed  $V_{S30}$  values. In a sense, we are modeling both the deterministic (trend) and stochastic (variability) components of spatial  $V_{S30}$  variations separately. Unlike the geology or slope models alone, this strategy takes advantage of the predictive capabilities of the both the geology and slope models, and potentially their interaction, yet effectively defaults to ordinary kriging in the vicinity of the observed data, thereby achieving consistency with the observed data.

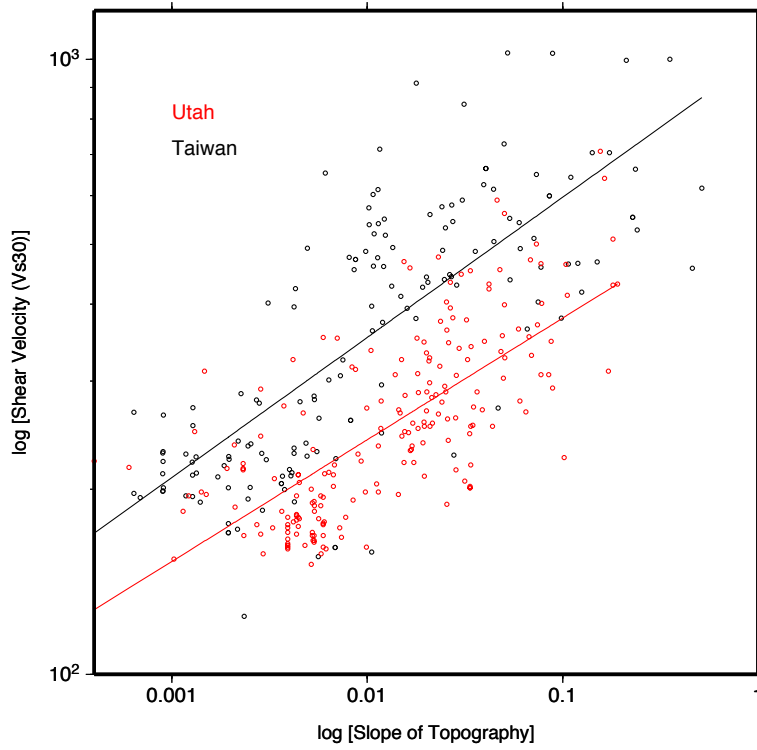


Fig. 2. Comparison of measured  $V_{S30}$  (m/sec) versus topographic slope (m/m) for Taiwan (black) and the Salt Lake City, Utah region (red). The lines represent least-squares fits separately for the Taiwan and Salt Lake City data. The linear fits have similar slopes, but the fit for the Taiwan data is vertically shifted from the fit for the Salt Lake City data. This consistent difference between predicted  $V_{S30}$  values for Taiwan and Salt Lake City may be explained by the varied depositional and active orogenic environments.

## MODELING AND RESULTS

Determining the optimal coefficients for slope, mean geological  $V_{S30}$  values, and any interactions (cross-terms) can be solved using ordinary least squares (OLS) or, more optimally, using Generalized Least Squares (GLS). Ideally, any remaining correlation in the covariance function of the residuals from OLS would be used iteratively to obtain the GLS coefficients, but such a strategy is unlikely to be warranted given the limited amount and quality of the typical  $V_{S30}$  data set. GLS could be explored with a more comprehensive  $V_{S30}$  data set than what is available for Taiwan (perhaps the  $V_{S30}$  data for the city of Ottawa, Canada; e.g., Hunter *et al.*, 2010).

The primary variable of interest is  $V_{S30}$ , which we assume to be log-normally distributed. If we let  $y = \log_{10}(V_{S30})$ , for example, given four geologic units, we can express the OLS model as:

$$y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \beta_4 x_4 + \beta_5 x_1 x_4 + \beta_6 x_2 x_4 + \beta_7 x_3 x_4 + e \quad (1)$$

Here,  $x_1$ ,  $x_2$ , and  $x_3$  are indicator variables for the geologic units,  $x_4$  denotes the topographic slope,  $\beta_1$ ,  $\beta_2$ , and  $\beta_3$  are factors adjusting the intercept of the baseline geology units, and  $\beta_5$ ,  $\beta_6$ , and  $\beta_7$  are adjustments to the slope within the same units,  $\beta_0$  represents the combined slope and geology intercept, and  $e$  is a residual term. In general, any interaction terms, say  $\beta_7$ , could be insignificant if the relationships between slope and observed  $V_{S30}$  for units  $x_3 x_4$  are similar, and could be dropped. Likewise, fewer or more than four geological units could be easily represented and corresponding coefficients could be determined.

If it is deemed that interaction terms are unnecessary, or the coefficients cannot be constrained, we drop those terms:

$$y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \beta_4 x_4 + e \quad (2)$$

Conversely, where individual geological classes are not well sampled, only modifications to the general slope terms are afforded, and the resulting map will default to the slope model, modified with the model residuals ( $V_{S30}$  data) as described below. Likewise, in regions where geologic maps are either inadequate or are not digitally available, we let  $x_1 = x_2 = x_3 = 0$ , so any local  $V_{S30}$  data can be used for solving for only the baseline and slope terms of the topographic-slope model:

$$y = \beta_0 + \beta_4 x_4 + e \quad (3)$$

Any remaining spatially-correlated residuals in the data from that trend can be kriged as described below. First, determining whether or not cross-terms (allowing variations of slope coefficients within each geologic unit) are needed must be explored by examining whether or not slope trends can be found to exist (and differ) among and within individual geological units. If cross terms are unneeded, a single slope coefficient is sufficient among the geological categories explored, or that there are insufficient data to justify variable slopes per unit.

Regional variations in the relationship between slope and  $V_{S30}$  were noted by Wald and Allen (2007); they found obvious differences in slope- $V_{S30}$  correlations between regions with active tectonics versus stable shields. Allen and Wald (2007) also noticed more subtle variations within tectonically active areas, though the limited  $V_{S30}$  data limited resolution of these differences in all but the best data sets. Given the likely dependence of slope versus  $V_{S30}$  on a variety of geologic sources and processes, perhaps it is not surprising to find such differences, yet lacking an understanding of the dominant physical mechanisms controlling these relationships, we should use care when interpreting them. However, in Fig. 2, there is clearly a distinguishable offset in the slope- $V_{S30}$  relationship between Taiwan and Utah; such differences require different trends among different regions. Next, we explore if such trend differences are significant and distinguishable among different geologic units within our region of interest.

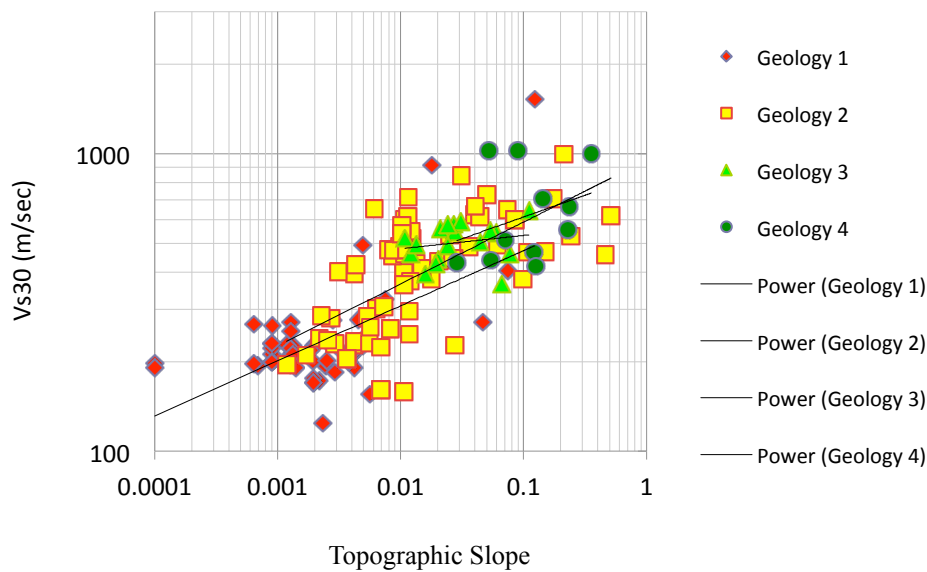


Fig. 3. Comparison of slope (m/m) and  $V_{S30}$  for Taiwan separated by geologic units. Color-coding for geological units is similar to those shown in Fig. 1b. Lines are individual linear regressions for the  $V_{S30}$  for each unit.

In Fig. 3, we separate the Taiwan  $V_{S30}$  observations versus topographic slope by geologic unit to make exploratory scatter plots to see if slope trends vary among the units. Separate  $V_{S30}$  versus slope trends among the geologic units would justify cross-terms, allowing the mean geology and slope within a unit to behave independently of other units. We can then jointly regress  $V_{S30}$  from the geologic classifications and the topographic-slope to derive coefficients for  $V_{S30}$  versus slope, the median geologic  $V_{S30}$  value, and offset as well as cross terms. As seen in Fig. 3, some units have data with limited ranges of slope making comparison risky, yet the similarity in trends does not strongly support the use of cross terms for a differing slope term within each geologic unit in this case. Thus, in the current analysis, we limit our regression to equation (2).

Next, we need to process  $V_{S30}$  data points, gridded topographic data, and polygonal, categorical geological data. In practice, the grid calculations and map-making are done using GMT (Wessel and Smith, 1995), and our kriging and regressions are done in R (R Development Core Team, 2010). A flowchart of the procedure is provided in Fig. 4. In GMT, we compute the maximum slope from the topography (Fig. 1a) as outlined by Wald and Allen (2007). The geological units (Fig. 1c), initially GIS shapefiles, are then

sampled at the same 30 arc-second sampling grid sampling as the topographic slope. The values of slope and geology category are determined at each  $V_{s30}$  observation location. Then using R, we regress the equation (2) using ordinary least squares to determine the coefficients, which are passed back to GMT.

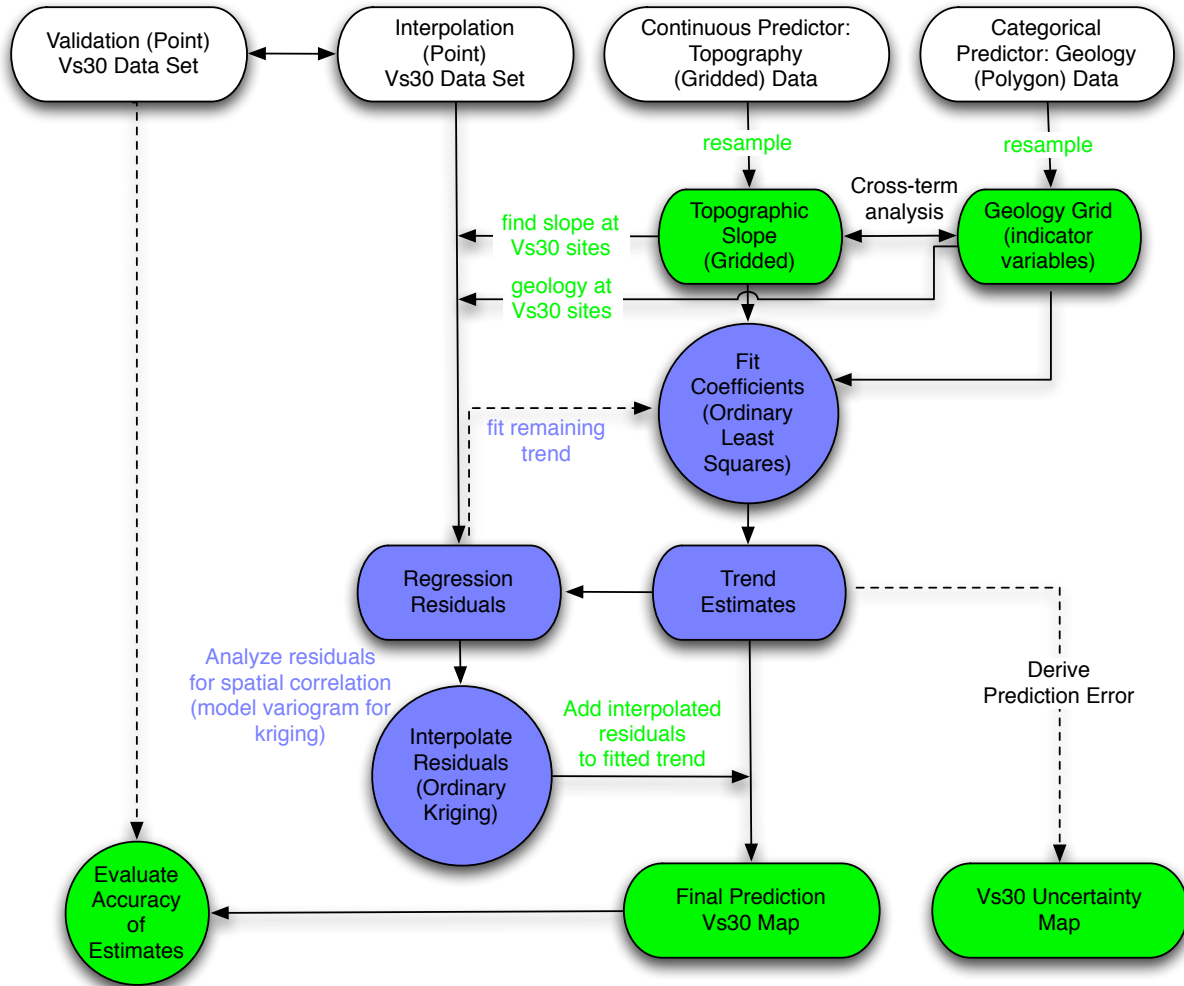


Fig. 4. Flow chart for data processing, data analysis, regression modeling, and kriging. GMT processes are shown in green; R functions in blue; white ovals are input data sets. Validation, refitting, and prediction error calculations (dashed lines) have not yet been attempted. See text for details.

The forward calculation, estimating  $V_{s30}$  at all grid points, is done efficiently with GMT's grid math processing and the results are mapped in Fig. 5b. Next, residuals of the  $V_{s30}$  data from the forward model are determined at each observation point. Back in R (Fig. 3), kriging preprocessing involves generating and fitting a variogram (usually an exponential function) and determination of appropriate sill, nugget, and range values (Fig. 6). The residuals are then kriged using these data-specific spatial correlation values, and the results are resampled on the same uniform grid, which can be mapped back in GMT (Fig. 5c). Finally, the gridded, kriged residuals are summed directly with the joint slope and geologic trend model to form the final  $V_{s30}$  map (Fig. 5d).

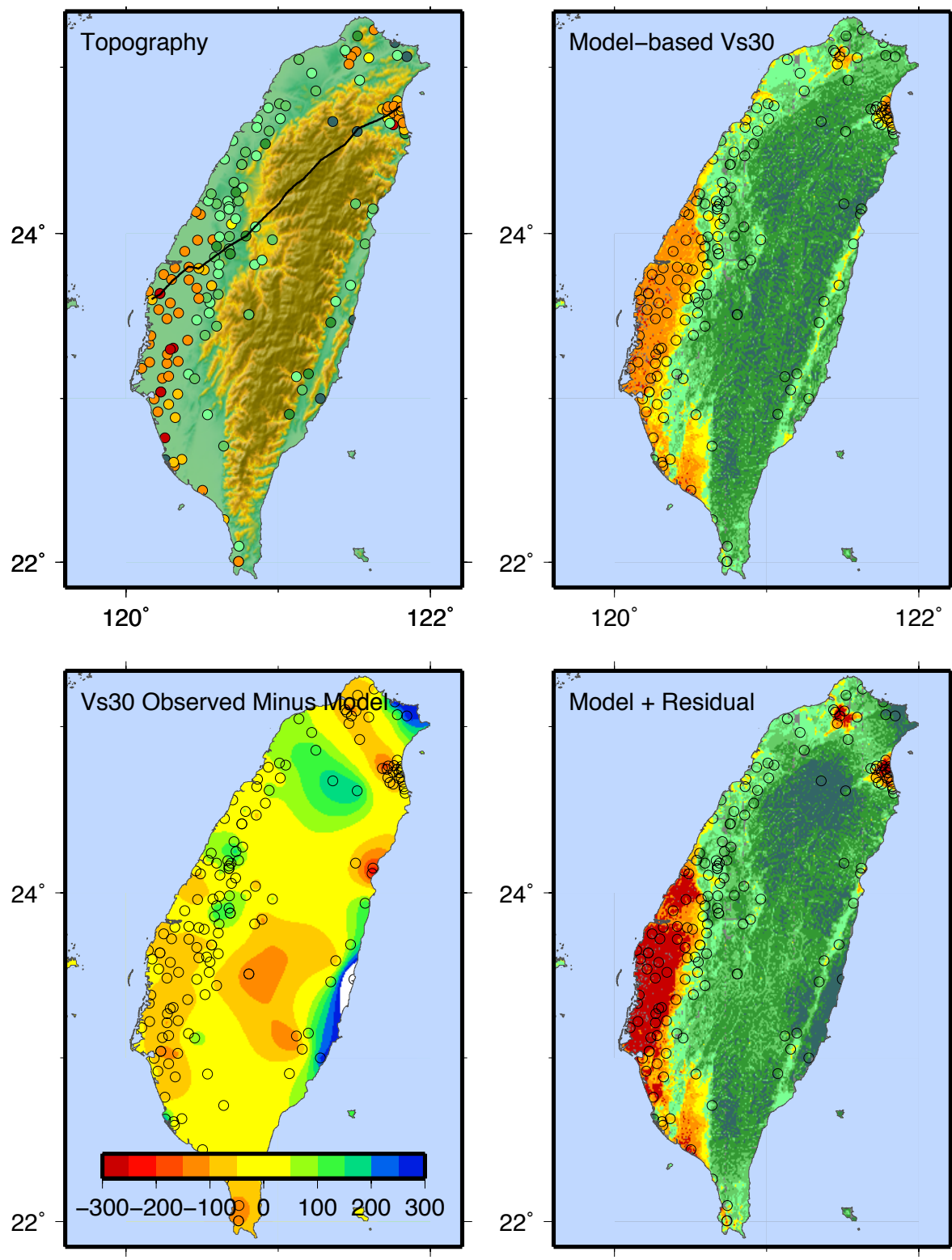


Fig. 5. a) Upper left: Topographic map and  $V_{s30}$  data for Taiwan; see Fig. 1 for details and legend. Black line shows the profile of observations shown in Fig. 7; b) Upper right: map of  $V_{s30}$  trend model estimated with the combined slope and geology-based relations derived by regression. c) Lower left: kriged residuals of  $V_{s30}$  observations (a) minus the trend model (b); see legend for residual values (m/sec). d) Lower right: Final map generated by adding the kriged residuals (c) back onto the slope-based model (b)



From analysis of Fig. 5, we can see the desired contributions of the individual model components: the eastward gradient of  $V_{S30}$  from along west coast of Taiwan in the combined trend model (Fig. 5b), and within a single geologic unit (see Fig. 1c), indicates the slope-based contribution; the plume-shaped, southernmost NEHRP E-category geologic unit (Fig. 1c; near 120.3E, 22.3N) in the combined trend model indicates some degree of geologic control; and, the modification of the trend model by the data as seen in Fig. 4d, clearly indicates the role of individual  $V_{S30}$  data on the final model, for example, at and around the slowest  $V_{S30}$  sites in valleys and along the west coast, or in the lowering of final  $V_{S30}$  values in the mountains in the north-central portion of the island.

The final combined trend model Fig. 5b more closely resembles the original (Fig. 1b; Wald and Allen (2007) slope-based model than the geologic-based model (Fig. 1c), though the slope model overestimated  $V_{S30}$  at some northern basin sites. In case of Taiwan, the slope-model dominance is attributed to the favorable initial agreement of the Wald and Allen model with the observed  $V_{S30}$  and the clear trends of  $V_{S30}$  within the separate, homogenous geologic units. It remains to be seen if this slope-model dominance will be the norm in other tectonic or geomorphic environments. Clearly, however, the final  $V_{S30}$  map (Fig. 5d) is an improvement over the Wald and Allen (2007) slope-based model in that it more closely matches observations at individual  $V_{S30}$  sites (Fig. 5a). A profile across Taiwan (black line in Fig. 5a) is provided in Fig. 7, comparing  $V_{S30}$  values along section for the trend model (dashed line) and for the final model with the kriged residuals added back in (Fig. 5d).

## DISCUSSION

Although the procedure outlined here has only been applied, in this case, to one region, in essence we are proposing a simple “recipe” for developing  $V_{S30}$  maps which should be generally applicable to any region of the world. In the absence of any  $V_{S30}$  data, or geologic maps, the default becomes a slope-based  $V_{S30}$  map using predetermined  $V_{S30}$ –slope correlations determined for a comparable tectonic environment elsewhere. With the addition of sufficient  $V_{S30}$  data (say, a few dozen values), the slope- $V_{S30}$  correlation can be refined, improving on a default trend, regressing for only the slope (of topographic slope versus  $V_{S30}$ ) and intercept coefficients (equation 2). If geological maps are available, determine if cross-terms are needed, and adjust the use of equation (1) adding cross-terms accordingly. The complete strategy for regression-kriging is then performed as described above, shown graphically in the flowchart in Figure 5, is outlined here:

- 1) Assemble data sets:
  - a. Point  $V_{S30}$  data and uncertainties (down-hole, SASW, ReMi, CPT, etc.)
  - b. Assess the quality of the point data, assigning weights to reflect the quality of the measurements if necessary.
  - c. Digital topography: resolution 30 or 9 arc-second sampling (1 km or 250 m, respectively)
  - d. Digital geology maps (or, potentially, other  $V_{S30}$  -proxy maps); convert polygons to grids
- 2) Data preprocessing:
  - a. Topography:
    - i. Compute maximum slope values on grid.
    - ii. Sample slope at  $V_{S30}$  observation points.
  - b. Geology-based  $V_{S30}$  assignments:
    - i. Assign categorical (ordinal) values to geology map units.
    - ii. Sample geology units at  $V_{S30}$  data points.
- 3) Solve for combined slope and geology trend model coefficients. Generate forward model.
- 4) Compute residuals from the observed  $V_{S30}$  values minus the trend model.
- 5) Analyze  $V_{S30}$  residuals (variogram estimation; determine nugget, sill, and range).
- 6) Kriged  $V_{S30}$  residuals and generate a grid of kriged residuals.
- 7) Add gridded kriged  $V_{S30}$  residuals back to trend model estimates.
- 8) Uncertainty analyses: compute cross-validation of data, map uncertainties.

Ongoing improvements in developing an optimal strategy for  $V_{S30}$  map development, include: i) the use of higher-resolution topography (9 rather than 30 arc-second), ii) Employing sufficiency criteria (p-value) for employing topographic slope and geology cross terms (equation 1), and iii) determination of estimated  $V_{S30}$  uncertainties. Allen and Wald (2009) and Wills and Gutierrez (2008) have identified some limitations to higher resolution data, yet we anticipate that switching to 9 arc-second sampling (250-m spacing) topographic data and re-deriving slope coefficients will be beneficial.

A final consideration is refining the Perl, R, and GMT scripts employed for semi-automating the production of  $V_{S30}$  maps in other regions. Manual intervention will still be required for inspecting the variogram model (autocovariance function) needed for kriging

residuals from the trend model. More significant work will be involved for areas in aggregating geological units appropriately and commensurate with the  $V_{S30}$  data available to constrain their averages. A fundamental limitation of the geologic-based approach is the trade-off between improved resolution and finer spatial variations offered by allowing more numerous geologic categories versus the need to sample each with sufficient  $V_{S30}$  observations to constrain its median value.

The next task will be applying our strategy to  $V_{S30}$  data from glaciated terrains in New England and the upper Midwest (not tested by Wald and Allen, 2007, using topographic slope alone), and then apply the “recipe” described above to develop a more refined  $V_{S30}$  map of the United States. In turn, that final product will replace the  $V_{S30}$  map now served by the USGS by their Global  $V_{S30}$  Server (<http://earthquake.usgs.gov/vs30/>).

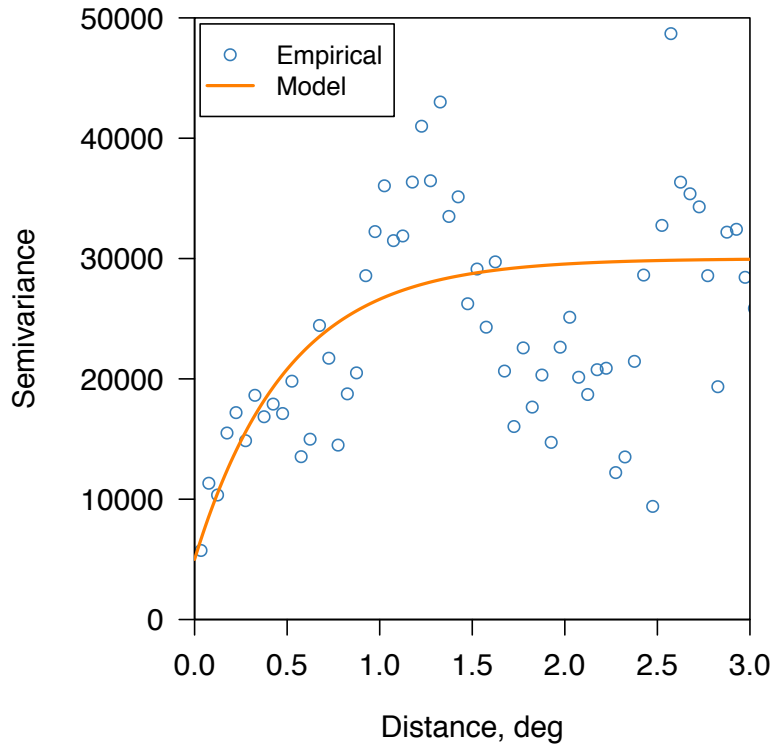


Fig. 6. Spatial correlation variogram for  $V_{S30}$  residuals from the combined model (Fig. 5b). Kriged residuals (5b) employ a 5000 nugget, 25000 sill, and range (cutoff distance) of 0.5 degrees.

## CONCLUSIONS

One limiting aspect of current state-of-the-art strategies for generating estimated  $V_{S30}$  maps, whether from geologic, topographic, or remotely sensed base maps, is that although derived from or aimed to be consistent with observed  $V_{S30}$  values, these approaches fail to directly incorporate the  $V_{S30}$  observations back into the map that has been created. Likewise, there are no strategies in place for accommodating significant new  $V_{S30}$  data as they become available or where regional trends obviously deviate from the default trend models.

The strategy described herein uses a hierarchical approach to mapping  $V_{S30}$ , taking full advantage of these most commonly available data sources: topographic slope, surficial geological maps, and  $V_{S30}$  measurements. The baseline model is derived from topographic slope because it is available globally, but geological maps and  $V_{S30}$  observations contribute where data are available. We analyze  $V_{S30}$  versus slope per geologic unit and observe minor trends that indicate some interaction of geologic and slope domains, but in the case of Taiwan, not enough to warrant separate regression terms. We then regress  $V_{S30}$  for the geologic  $V_{S30}$  medians and regional topographic slope- $V_{S30}$  coefficients for a hybrid topographic-slope/geologic model. The residuals of this hybrid model still exhibit a strong spatial-correlation structure, so we use the kriging-with-a-trend method (the trend is the hybrid model) to insure we fit all  $V_{S30}$  observations at those sites. Unlike the geology or slope models alone, this strategy takes advantage of the predictive capabilities of the

two models, yet effectively defaults to ordinary kriging in the vicinity of the observed data, thereby achieving consistency with the observed data.

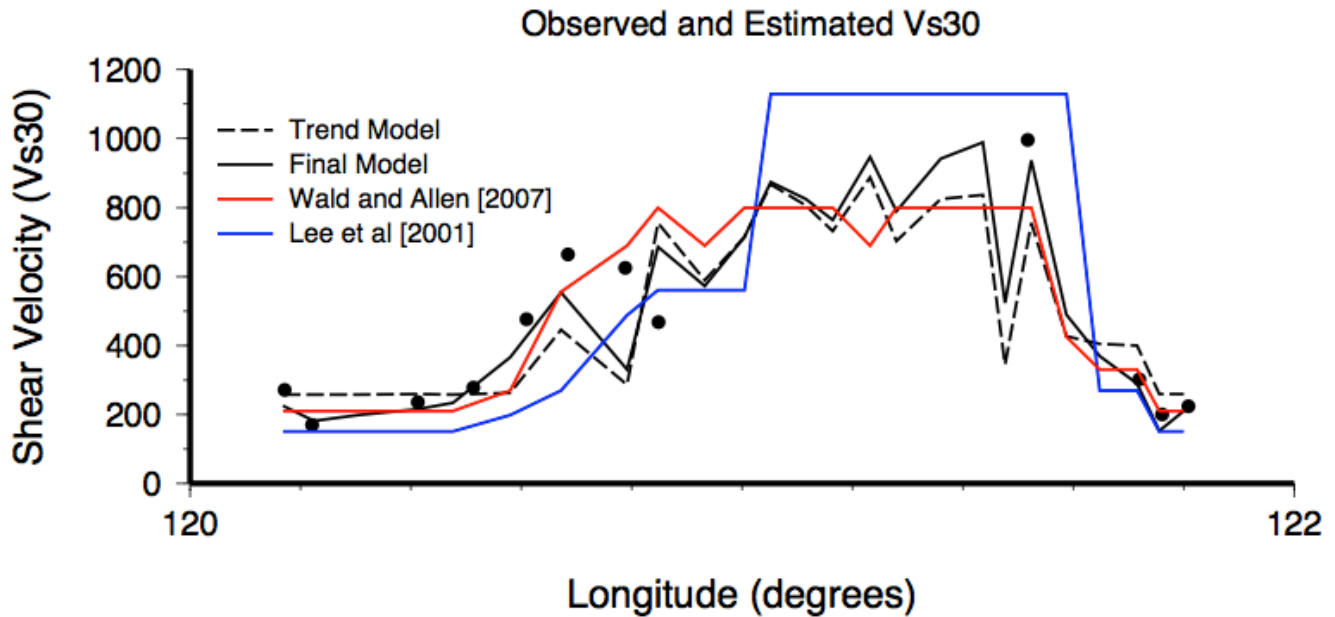


Fig. 7. SW-NE profile (see track line on Fig. 5a) showing  $V_{S30}$  data (circles), and sampling the trend model (dashed, Fig. 5b) and final kriged model (solid line, Fig. 5d). Wald and Allen's (2007) topographic slope-based model (red line) and Lee et al's geology-based model (blue line) are also shown for comparison

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